

Epic Financial, LLC (“Epic Financial,” “we,” “our,” or “us”) is committed to protecting the privacy and confidentiality of the personal information we collect about our clients. This notice describes how we collect, use, share, and safeguard your nonpublic personal information in accordance with Regulation S-P and applicable state laws.

1. Information We Collect

We collect nonpublic personal information (“Personal Information”) about you to provide financial planning and investment advisory services. This includes information obtained from:

- Information you provide directly, such as applications, questionnaires, financial statements, and other forms
- Communications with you, including verbal, written, and electronic correspondence
- Other professionals you work with, such as accountants, attorneys, or insurance agents
- Your transactions, account activity, and balances with us or with custodians and service providers
- Consumer reporting agencies, such as credit or background information when relevant

Examples of Personal Information may include your name, address, Social Security number, date of birth, income, assets, investment objectives, and account numbers.

2. Data Retention and Destruction

We retain Personal Information for as long as necessary to provide services, meet regulatory requirements, and maintain appropriate business records. When information is no longer required, we securely destroy it using industry standard methods.

3. Former and Inactive Clients

If you close your account or become an inactive client, we continue to protect your Personal Information in accordance with this Privacy Policy.

4. How We Use and Share Your Information

We use your Personal Information solely to deliver financial planning and investment advisory services. We may share information with nonaffiliated third parties only as necessary to service your accounts or as directed by you. These parties may include:

- Custodians and brokerage firms
- Private investment sponsors
- Technology and operational service providers
- Attorneys, accountants, or other professionals you authorize
- Consultants engaged by Epic Financial

We do not sell your Personal Information, and we do not share it with unaffiliated third-party marketing companies.

Clients cannot opt out of information sharing that is required to service their accounts or comply with legal and regulatory obligations.

5. How We Protect Your Information

We maintain physical, electronic, and procedural safeguards designed to protect your Personal Information, including:

- Restricted access to client information
- Secure office facilities and document storage
- Encryption and secure transmission of electronic data
- Password protected systems and role-based access controls
- Ongoing employee training on privacy and data security
- Due diligence and oversight of third-party service providers

6. Your Rights and Contact Information

You may contact us at any time to:

- Request corrections to your Personal Information
- Ask questions about our privacy practices
- Obtain a copy of this Privacy Policy

Contact:

Epic Financial, LLC
1790 Stoney Hill Dr. Hudson, OH 44236
(330) 331-0629
trace@epic-financial.com

Item 1: Cover Page

Epic Financial, LLC

Form ADV Part 2A – Firm Brochure

1790 Stoney Hill Dr., Suite A

Hudson, OH 44236

330-331-0629

www.epic-financial.com

Dated March 6, 2026

This Brochure provides information about the qualifications and business practices of Epic Financial, LLC. If you have any questions about the contents of this Brochure, please contact us at 330-331-0629. The information in this Brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Epic Financial, LLC is registering as an investment adviser with the States of Ohio and notice-filed Texas. Registration of an investment adviser does not imply any level of skill or training.

Additional information about Epic Financial, LLC is available on the SEC's website at www.adviserinfo.sec.gov.

CRD: 169410

Item 2: Material Changes

Since our last annual update on March 3rd, 2025, the following material change has occurred:

- Items 4 & 5 – We now employ Orion Portfolio Solutions, LLC as a sub-adviser.

Please note, this item only discusses changes we consider material and not all changes made.

Item 3: Table of Contents

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Item 4: Advisory Business

Description of Advisory Firm

Epic Financial, LLC is an investment adviser registered with the State of Ohio. We were founded in September of 2013. Andrew L. Tisler, III (a.k.a. Trace Tisler) is the principal owner of the Firm. As of December 31st, 2025, Epic Financial, LLC currently manages \$0.00 on a non-discretionary basis and \$43,846,638 on a discretionary basis.

Types of Advisory Services

We offer the following services:

Investment Supervisory Services

We offer ongoing portfolio management services based on the individual goals, objectives, time horizon, and risk tolerance of each client. Investment Supervisory Services include, but are not limited to, the following:

- Investment strategy
- Security selection
- Regular and/or continuous portfolio monitoring

We evaluate the current investments of each client with respect to their risk tolerance levels and time horizon. Additionally, clients may impose reasonable restrictions on their accounts. Depending on the client's needs, we may employ an unaffiliated sub-adviser, Orion Portfolio Solutions, LLC (CRD# 107975), to provide trading and investment services.

Financial Planning Services

Financial planning is a comprehensive evaluation of a client's current and future financial state by using currently known variables to predict future cash flows, asset values and withdrawal plans. The key defining aspect of financial planning is that through the financial planning process, all questions, information and analysis will be considered as they impact and are impacted by the entire financial and life situation of the client.

In general, financial planning will address some, any or all of the following areas:

- Personal: Family records, budgeting, personal liability, estate information and financial goals.
- Death & Disability: Cash needs at death, income needs of surviving dependents, estate planning and disability income analysis;
- Retirement: Analysis of current strategies and investment plans to help the client achieve his or her retirement goals;
- Investments: Analysis of investment alternatives and their effect on a client's portfolio;
- Insurance: Review of existing policies to ensure proper coverage for life, health, disability, long-term care, liability, home and automobile.

Wrap Fee Programs

We do not offer wrap fee programs.

Item 5: Fees and Compensation

How we are paid depends on the type of advisory service we are performing. Please review the fee and compensation information below.

Standard Advisory Fee

Our standard advisory fee is based on the market value of the assets under management and is calculated as follows:

Account Size	Annual Advisory Fee
\$0-\$500,000	1.10%
\$500,001-\$1,000,000	0.90%
\$1,000,001 - \$2,500,000	0.75%
Above \$2,500,000	0.50%

The annual fees are negotiable in certain cases and are generally pro-rated and paid in advance on a monthly or quarterly basis. No increase in the annual fee shall be effective without agreement from the client by signing a new agreement or amendment to their current advisory agreement. In the event a sub-adviser is employed, the above standard advisory fee does not include the sub-adviser's management fee.

In some cases, a fixed annual fee may be considered in lieu of or in addition to an asset based management fee. It will be established with the client in writing.

Advisory fees are directly debited from client accounts. Accounts initiated or terminated during a calendar quarter will be charged a pro-rated fee based on the amount of time remaining in the billing period. An account may be terminated with written notice at least 30 business days in advance. Upon termination, accounts will be refunded a pro-rated fee based on the remaining days in the quarter.

Financial Planning Fee

Comprehensive Financial Planning (On-Going)

Depending upon the complexity of the situation and the needs of the client, the fixed fee for these services will be determined on a case by case basis and the fee will be agreed upon before the start of any work. Typically, it consists of an initial planning fee ranging from \$1,000 - \$4,800 and an annual retainer ranging from \$1,200 - \$15,000 which may be paid quarterly, or monthly. The fee may be negotiable in certain cases. The fee is billed in advance. Upon termination of the service, any unearned fee will be refunded to the client. This refund is calculated based on the number of days remaining in the payment period.

Financial Planning (One-Time)

One-time financial planning project fees will be determined based on the complexity and scope of work to be done and determined on a case by case basis. The fee will be agreed upon before the start of any work and is due 50% upon acceptance of the planning agreement and 50% upon completion of work or as agreed upon. The fee may be negotiable in certain cases. Project fees typically range from \$500 to \$4,800. In the case of early termination, work already completed will be billed at an hourly rate of \$250 per hour, and any unearned fees will be refunded to the client.

Hourly Fee

Financial Planning can either be paid based off of an hourly rate of \$250.00 per hour, or a retainer fee. The fee may be negotiable in certain cases. The fee will be due at completion of work. Since fees are paid in arrears, there will be no applicable refunds in the event of early termination.

Other Types of Fees and Expenses

Our fees are exclusive of brokerage commissions, transaction fees, and other related costs and expenses which shall be incurred by the client. Clients may incur certain charges imposed by custodians, brokers, and other third parties such as custodial fees, deferred sales charges, odd-lot differentials, transfer taxes, wire transfer and electronic fund fees, and other fees and taxes on brokerage accounts and securities transactions. Mutual fund and exchange traded funds also charge internal management fees, which are disclosed in a fund's prospectus. Such charges, fees and commissions are exclusive of and in addition to our fee, and we shall not receive any portion of these commissions, fees, and costs.

Item 12 further describes the factors that we consider in selecting or recommending broker-dealers for client's transactions and determining the reasonableness of their compensation (e.g., commissions).

Item 6: Performance-Based Fees and Side-By-Side Management

We do not offer performance-based fees.

Item 7: Types of Clients

We provide portfolio management services to individuals and high net worth individuals. We currently do not have a minimum account size requirement.

Item 8: Methods of Analysis, Investment Strategies and Risk of Loss

Our investment philosophy is based on the foundations of Modern Portfolio Theory and the Efficient Market Hypothesis and reflects the following principles:

- Asset Allocation is the leading contributor to investment return
- Investment risk and return are related
- The costs of time, research and development, tax consequences, and other factors involved in many active investment strategies (e.g. market timing, stock picking, options) do not provide enough value to warrant their use.
- Small and value type stocks tend to provide additional value over their large and growth counterparts over the long term

- Over the long-run, short to intermediate term, high quality, fixed income provides a better risk to return characteristic than longer-term counterparts

Based on these principals we analyze individual mutual funds and ETFs based upon the asset strategy and its ability to represent its stated asset class. Additionally, the costs, liquidity, performance, track record, public reports, and comparison of other funds in the same category are considered.

All investing strategies involve risk and may result in a loss of your original investment which you should be prepared to bear.

Item 9: Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Epic Financial, LLC or the integrity of our management. We have no information applicable to this item.

Item 10: Other Financial Industry Activities and Affiliations

Epic Financial, LLC, its owners, or employees do not have relationships or arrangement with other financial services companies which pose material conflicts of interest to clients.

Item 11: Code of Ethics, Participation or Interest in Client Transactions and Personal Trading

We have adopted a Code of Ethics for all supervised persons of the firm describing its high standard of business conduct, and fiduciary duty to its clients. The Code of Ethics includes provisions relating to the confidentiality of client information, a prohibition on insider trading, a prohibition on rumor mongering, restrictions on the acceptance of significant gifts and the reporting of certain gifts and business entertainment items, and personal securities trading procedures, among other things. All members of the firm must acknowledge the terms of the Code of Ethics annually, or as amended.

All our employees are required to follow our Code of Ethics which places the interests of advisory clients first. The Code of Ethics is designed to assure that the personal securities transactions, activities and interests of our employees will not interfere with (i) making decisions in the best interest of advisory clients. Under the Code certain classes of securities have been designated as exempt transactions, based upon a determination that these would not materially interfere with the best interest our clients. In addition, the Code requires pre-clearance of many transactions, and restricts trading in close proximity to client trading activity. Employee trading is continually monitored under the Code of Ethics, and to reasonably prevent conflicts of interest

between our firm and its clients.

It is our policy that the firm will not affect any principal or agency cross securities transactions for client accounts. We will also not cross trades between client accounts.

Our clients or prospective clients may request a copy of the firm's Code of Ethics by contacting Trace Tisler.

Investment Advice Relating to Retirement Accounts

When we provide investment advice to you regarding your retirement plan account or individual retirement account, we are fiduciaries within the meaning of Title I of the Employee Retirement Income Security Act and/or the Internal Revenue Code, as applicable, which are laws governing retirement accounts. The way we make money creates some conflicts with your interests, so we operate under a special rule that requires us to act in your best interest and not put our interest ahead of yours. Under this special rule's provisions, we must:

- Meet a professional standard of care when making investment recommendations (give prudent advice);
- Never put our financial interests ahead of yours when making recommendations (give loyal advice);
- Avoid misleading statements about conflicts of interest, fees, and investments;
- Follow policies and procedures designed to ensure that we give advice that is in your best interest;
- Charge no more than is reasonable for our services; and
- Give you basic information about conflicts of interest.

In addition, and as required by this rule, we provide information regarding the services that we provide to you, and any material conflicts of interest, in this brochure and in your client agreement.

Item 12: Brokerage Practices

Factors Used to Select Custodians and/or Broker-Dealers

We do not have any affiliation with any custodian we recommend. Specific custodian recommendations are made to clients based on their need for such services. We recommend custodians based on the reputation and services provided by the firm.

In recommending custodians, we have an obligation to seek the "best execution" of transactions in Client accounts. The determinative factor in the analysis of best execution is not the lowest possible commission cost, but whether the transaction represents the best qualitative execution, taking into consideration the full range of the custodian's services. The factors we consider when evaluating a custodian for best execution include, without limitation, the custodian's:

- Combination of transaction execution services and asset custody services (generally without a separate fee for custody);
- Capability to execute, clear, and settle trades (buy and sell securities for your account);
- Capability to facilitate transfers and payments to and from accounts (wire transfers, check requests, bill payment, etc.);
- Breadth of available investment products (stocks, bonds, mutual funds, exchange-traded funds (ETFs), etc.);

- Availability of investment research and tools that assist us in making investment decisions
- Quality of services;
- Competitiveness of the price of those services (commission rates, margin interest rates, other fees, etc.) and willingness to negotiate the prices;
- Reputation, financial strength, security and stability;
- Prior service to us and our clients.

With this in consideration, our firm recommends Charles Schwab & Co., Inc., a registered broker-dealer, member FINRA and SIPC (“Schwab”). Our firm is not affiliated with Schwab.

Research and Other Soft-Dollar Benefits

Our firm does not have any soft-dollar arrangements with custodians whereby soft-dollar credits, used to purchase products and services, are earned directly in proportion to the amount of commissions paid by a client. However, as a result of being on their institutional platform, Schwab may provide us with certain services and products that may benefit us. All such soft dollar benefits are consistent with the safe harbor contained in Section 28(e) of the Securities Exchange Act of 1934, as amended.

Schwab Advisor Services™ is Schwab’s business serving independent investment advisory firms like us. They provide our clients and us with access to their institutional brokerage services (trading, custody, reporting and related services), many of which are not typically available to Schwab retail customers. Schwab also makes available various support services. Some of those services help us manage or administer our clients’ accounts, while others help us manage and grow our business. Schwab’s support services are generally available on an unsolicited basis (we don’t have to request them) and at no charge to us. The benefits received by our firm or its personnel do not depend on the number of brokerage transactions directed to Schwab. As part of its fiduciary duties to our clients, we at all times must put the interests of our clients first. Clients should be aware, however, that the receipt of economic benefits by our firm or its related persons in and of itself creates a potential conflict of interest and may indirectly influence our choice of Schwab for custody and brokerage services. This conflict of interest is mitigated as we regularly review the factors used to select custodians to ensure our recommendation is appropriate. Following is a more detailed description of Schwab’s support services:

1. Services that benefit you. Schwab’s institutional brokerage services include access to a broad range of investment products, execution of securities transactions, and custody of Client assets. The investment products available through Schwab include some to which we might not otherwise have access or that would require a significantly higher minimum initial investment by our Clients. Schwab’s services described in this paragraph generally benefit you and your account.
2. Services that may not directly benefit you. Schwab also makes available to us other products and services that benefit us but may not directly benefit you or your account. These products and services assist us in managing and administering our clients’ accounts. They include investment research, both Schwab’s own and that of third parties. We may use this research to service all or a substantial number of our clients’ accounts, including accounts not maintained at Schwab. In addition to investment research, Schwab also makes available software and other technology that:

- provide access to client account data (such as duplicate trade confirmations and account statements)
 - facilitate trade execution and allocate aggregated trade orders for multiple client accounts
 - provide pricing and other market data
 - facilitate payment of our fees from our clients' accounts
 - assist with back-office functions, recordkeeping, and client reporting
3. Services that generally benefit only us. Schwab also offers other services intended to help us manage and further develop our business enterprise. These services include:
- Educational conferences and events
 - Consulting on technology, compliance, legal, and business needs
 - Publications and conferences on practice management and business succession
4. Your brokerage and custody costs. For our clients' accounts that Schwab maintains, Schwab generally does not charge you separately for custody services but is compensated by charging you commissions or other fees on trades that it executes or that settle into your Schwab account. Certain trades (for example, many mutual funds and ETFs) may not incur Schwab commissions or transaction fees.

Brokerage for Client Referrals

We receive no referrals from a broker-dealer or third party in exchange for using that broker-dealer or third party.

Clients Directing Which Broker/Dealer/Custodian to Use

We do recommend custodians for clients to use, however, clients may custody their assets at a custodian of their choice. Clients may also direct us to use a specific broker-dealer to execute transactions. By allowing clients to choose a specific custodian, we may be unable to achieve most favorable execution of client transaction and that this may cost clients money over using a lower-cost custodian.

Aggregating (Block) Trading for Multiple Client Accounts

Generally, we combine multiple orders for shares of the same securities purchased for advisory accounts we manage (this practice is commonly referred to as "block trading"). We will then distribute a portion of the shares to participating accounts in a fair and equitable manner. The distribution of the shares purchased is typically proportionate to the size of the account, but it is not based on account performance or the amount or structure of management fees. Subject to our discretion, regarding particular circumstances and market conditions, when we combine orders, each participating account pays an average price per share for all transactions. Accounts owned by our firm or persons associated with our firm may participate in block trading with your accounts; however, they will not be given preferential treatment.

Item 13: Review of Accounts

Client accounts will be reviewed regularly on a quarterly basis. During the regular review the account's performance is compared against like-managed accounts to identify any unacceptable performance deviation. Additionally, reasonable client imposed restrictions will be reviewed to confirm that they are being enforced. Events that may trigger a special review would be unusual performance, addition or deletions of

client imposed restrictions, excessive draw-down, volatility in performance, or buy and sell decisions from the firm or per client's needs.

Clients will receive trade confirmations from the custodian for each transaction in their accounts as well as monthly or quarterly statements and annual tax reporting statements from their custodian showing all activity in the accounts, such as receipt of dividends and interest.

Epic Financial, LLC will not provide written reports to the client.

Item 14: Client Referrals and Other Compensation

We do not receive any economic benefit, directly or indirectly from any third party for advice rendered to our clients. Nor do we directly or indirectly compensate any person who is not advisory personnel for client referrals.

For accounts of our clients maintained in custody at Schwab, Schwab will not charge the client separately for custody but will receive compensation from our clients in the form of commissions or other transaction-related compensation on securities trades executed through Schwab. Schwab also will receive a fee (generally lower than the applicable commission on trades it executes) for clearance and settlement of trades executed through broker-dealers other than Schwab. Schwab's fees for trades executed at other broker-dealers are in addition to the other broker-dealer's fees. Thus, we may have an incentive to cause trades to be executed through Schwab rather than another broker-dealer. Nevertheless, we acknowledge our duty to seek best execution of trades for client accounts.

Also, we receive an economic benefit from Schwab in the form of the support products and services it makes available to us and other independent investment advisors that have their clients maintain accounts at Schwab. You do not pay more for assets maintained at Schwab as a result of these arrangements. However, we benefit from the referral arrangement because the cost of these services would otherwise be borne directly by us. You should consider these conflicts of interest when selecting a custodian. These products and services, how they benefit us, and the related conflicts of interest are described above (see Item 12 – Brokerage Practices). The availability to us of Schwab's products and services is not based on us giving particular investment advice, such as buying particular securities for our clients.

Item 15: Custody

Clients should receive at least quarterly statements from the broker dealer, bank or other qualified custodian that holds and maintains client's investment assets. We urge you to carefully review such statements and compare such official custodial records to the account statements or reports that we may provide to you. Our statements or reports may vary from custodial statements based on accounting procedures, reporting dates, or valuation methodologies of certain securities. For clients who have their advisory fee directly debited from their account, on a quarterly basis they may request an itemized invoice for their advisory fee that includes

the formula used to calculate the fee, the amount of assets under management the fee is based on, and the time period covered by the fee.

Item 16: Investment Discretion

We offer our advisory services on a discretionary basis. Meaning we do not need advance approval from you to determine the type and amount of securities to be bought and sold for your accounts. We do not, however, have the ability to choose the custodian or broker/dealer through which transactions will be executed or to negotiate brokerage commissions. Additionally, we do not have the ability to withdraw funds from your account (other than to withdraw our advisory fees). This discretion is used in a manner consistent with the stated investment objectives for your account, if you have given us written authorization to do so. We only exercise discretion in accounts where we have been authorized by you. This authorization is typically granted through the execution of custodian specific limited power of authority and reiterated in Epic Financial, LLC's advisory agreement.

Item 17: Voting Client Securities

We do not vote Client proxies. Therefore, Clients maintain exclusive responsibility for: (1) voting proxies, and (2) acting on corporate actions pertaining to the Client's investment assets. The Client shall instruct the Client's qualified custodian to forward to the Client copies of all proxies and shareholder communications relating to the Client's investment assets. If the client would like our opinion on a particular proxy vote, they may contact us at the number listed on the cover of this brochure.

In most cases, you will receive proxy materials directly from the account custodian. However, in the event we were to receive any written or electronic proxy materials, we would forward them directly to you by mail, unless you have authorized our firm to contact you by electronic mail, in which case, we would forward you any electronic solicitation to vote proxies.

Item 18: Financial Information

We have no financial commitment that impairs our ability to meet contractual and fiduciary commitments to clients, and we have not been the subject of a bankruptcy proceeding.

Item 19: Requirements for State- Registered Advisers

Refer to Part 2B of this Brochure for information on principal executive officers and management personnel.

Epic Financial, LLC
1790 Stoney Hill Dr., Suite A
Hudson, OH 44236

330-331-0629

Form ADV Part 2B – Brochure Supplement

For

Andrew Tisler (a.k.a. Trace Tisler) Managing Member and Chief Compliance Officer

Dated February XX, 2026

This brochure supplement provides information about Andrew Tisler (a.k.a. Trace Tisler) that supplements the Epic Financial, LLC brochure. A copy of that brochure precedes this supplement. Please contact Mr. Tisler if the Epic Financial, LLC brochure is not included with this supplement or if you have any questions about the contents of this supplement.

Additional information about Andrew Tisler (a.k.a. Trace Tisler) is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2: Educational Background and Business Experience

Andrew Tisler (a.k.a. Trace Tisler)

Born: 1983

Educational Background

- 2005 – B.S. Finance, Kent State University

Business Experience

- 2013 – Present, Epic Financial, LLC, Managing Member and Chief Compliance Officer
- 2006 – 2013, Jentner Wealth Management – Director of Wealth Management

Professional Designations, Licensing & Exams

CERTIFIED FINANCIAL PLANNER™ professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board’s other certification marks (the “CFP Board Certification Marks”). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board’s high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor’s degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual’s ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the *Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement* and agree to be bound by CFP Board’s *Code of Ethics and Standards of Conduct* (“Code and Standards”), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board’s *Code and Standards*. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of

the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the *Code and Standards*.

Item 3: Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

Item 4: Other Business Activities

None.

Item 5: Additional Compensation

Other than salary, annual bonuses, or regular bonuses, Mr. Tisler does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Epic Financial, LLC.

Item 6: Supervision

Mr. Tisler, as Managing Member and Chief Compliance Officer of Epic Financial, LLC, is responsible for supervision. He may be contacted at the phone number on this brochure supplement.

Item 7: Requirements for State Registered Advisers

1. Mr. Tisler has NOT been involved in any of the events listed below.
 - a. An award or otherwise being found liable in an arbitration claim alleging damages in

excess of \$2,500, involving any of the following:

- i. An investment or an investment-related business or activity;
 - ii. Fraud, false statements, or omissions;
 - iii. Theft, embezzlement, or other wrongful taking of property;
 - iv. Bribery, forgery, counterfeiting, or extortion; or
 - v. Dishonest, unfair, or unethical practices.
- b. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
- i. An investment or an investment-related business or activity;
 - ii. Fraud, false statements, or omissions;
 - iii. Theft, embezzlement, or other wrongful taking of property;
 - iv. Bribery, forgery, counterfeiting, or extortion; or
 - v. Dishonest, unfair, or unethical practices.
2. Mr. Tisler has NOT been the subject of a bankruptcy petition at any time.